Docket: 71630 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kuo et al.

Serial No.:

Group Art Unit:

Filed:

Examiner:

For:

SMALL-PARTICLE LATEX COMPOSITIONS BASED ON WATERBORNE

ALKYD SEEDS

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Eastman Chemical Company P.O. Box 511

Kingsport, Tennessee 37662

FAX:

Phone: (423) 229-4016 (423) 229-1239 Respectfully submitted.

Michael K. Carrier

Registration No. 42.391

23 June 2003 Date

PATENT Docket: 71630

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

"EXPRESS MAIL " Mailing Label No.: EF181912802US

Date of Deposit: June 23, 2003

I hereby certify that this Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) and the Documents referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus (+) sign in this box	\rightarrow	+
---	---------------	---

				Attorney Docket Number	71630
Substitute for fo	rm 1449			First Named Inventor	Kuo et al.
INFORM	ATION DI	SCL	OSURE	С	OMPLETE IF KNOWN
STATEMENT BY APPLICANT				Application Number	
				Filing Date	
	,			Group Art Unit	·
Sheet	1	of	2	Examiner Name	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S.Patent I Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		3,345,313		Ruhf et al.	10-03-1967		
		3,734,874		Kibler et al.	05-22-1973		
•		4,698,391		Yacobucci et al.	10-06-1987		
_		4,737,551		Dervan et al.	04-12-1988		
		5,277,978		Feustel et al.	01-11-1994		
-		5,349,026		Emmons et al.	09-20-1994		
-		5,371,148		Taylor et al.	12-06-1994		
		5,378,757		Blount, Jr.	01-03-1995		
		5,530,059		Blount, Jr.	06-25-1996		
		5,734,874		Van Hook et al.	03-31-1998		
***		6,001,922		Clark et al.	12-14-1999		
		6,262,149		Clark et al.	07-17-2001		
		6,444,781		Kuo et al.	09-03-2003		
		,					

Examiner	·	Date	
Signature		C nsider d	·

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Please type a plus (+) sign in this box \longrightarrow

				Attorney Docket Numb r	71630
Substitute for fo	orm 1449			First Named Inventor	Kuo et al.
INFORM	ATION D	ISCLO	SURE	C	COMPLETE IF KNOWN
STATEMENT BY APPLICANT			ICANT.	Application Number	
				Filing Date	
				Group Art Unit	
Sheet	2	of	2	Examiner Name	

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite		Foreign Patent Do			Date of Publication		
Initials*	No.1	Office ³	Number⁴	Kind Code⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	T ⁶	
		wo	95/02019	A2	DSM N.V.	01-19-1995		

			,					

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶		
		·			
			+		

Examiner	Date	
Signature	Consider d	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.